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# Sex influences the effects of APOE genotype and Alzheimer's diagnosis on neuropathology and memory



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### ABSTRACT

Alzheimer's disease (AD) is characterized by severe cognitive decline and pathological changes in the brain (brain atrophy, hyperphosphorylation of tau, and deposition of amyloid-beta protein). Females have greater neuropathology (AD biomarkers and brain atrophy rates) and cognitive decline than males, however these effects can depend on diagnosis (amnestic mild cognitive impairment (aMCI) or AD) and APOE genotype (presence of ɛ4 alleles). Using the ADNI database (N = 630 females, N = 830 males), we analyzed the effect of sex, APOE genotype (non-carriers or carriers of APOE£4 alleles), and diagnosis (cognitively normal (CN), early aMCI (EMCI), late aMCI (LMCI), probable AD) on cognition (memory and executive function), hippocampal volume, and AD biomarkers (CSF levels of amyloid beta, tau, and ptau). Regardless of APOE genotype, memory scores were higher in CN, EMCI, and LMCI females compared to males but this sex difference was absent in probable AD, which may suggest a delay in the onset of cognitive decline or diagnosis and/or a faster trajectory of cognitive decline in females. We found that, regardless of diagnosis, CSF tau-pathology was disproportionately elevated in female carriers of APOE&4 alleles compared to males. In contrast, male carriers of APOE&4 alleles had reduced levels of CSF amyloid beta compared to females, irrespective of diagnosis. We also detected sex differences in hippocampal volume but the direction was dependent on the method of correction. Altogether results suggest that across diagnosis females show greater memory decline compared to males and APOE genotype affects AD neuropathology differently in males and females which may influence sex differences in incidence and progression of aMCI and AD.

### 1. Introduction

Alzheimer's disease (AD) is characterized by severe cognitive decline and neuropathological markers such as brain atrophy, hyperphosphorylation of tau, and deposition of amyloid-beta (A $\beta$ ) protein in the brain (Alzheimer's Association, 2017). The hippocampus is one of the first brain areas to show atrophy with AD (Jack et al., 2000; Kidron et al., 1997) and hippocampal atrophy correlates with cognitive decline (Petersen et al., 2000) and AD pathology (neurofibrillary tangles; Jack et al., 2002). Possession of one or two APOE£4 alleles, the strongest genetic risk factor for sporadic AD, and female sex are important non-modifiable risk factors for AD (Riedel et al., 2016). Studies indicate that females with AD show greater signs of neuropathology (tau levels), rates of brain atrophy, including in the hippocampus, and cognitive decline than males, which may be exacerbated by APOE genotype (e.g., Ardekani et al., 2016; Buckley et al., 2018; Cavedo et al., 2018; Hohman et al., 2018). One allele of APOEɛ4 increases the risk of AD in females relative to males between 65 and 75 years, indicating that the APOE genotype affects males and females differently (Neu et al., 2017).

Previous studies have examined the interaction of APOE genotype

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<sup>&</sup>lt;sup>2</sup> Data used in preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (adni.loni.usc.edu). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of ADNI investigators can be found at: http://adni.loni.usc.edu/wp-content/uploads/how\_to\_apply/ADNI\_Acknowledgement List.pdf

and sex on AD biomarkers (tau and  $A\beta$ ), hippocampal atrophy, and cognitive function, but findings are not consistent (Altmann et al., 2014; Buckley et al., 2018; Damoiseaux et al., 2012; Holland et al., 2013; Liu et al., 2019; Sampedro et al., 2015; Sohn et al., 2018; Wang et al., 2019), likely due to the diagnosis groups included and whether studies use longitudinal or baseline comparisons. Using the Alzheimer's Disease Neuroimaging Initiative (ADNI) database, previous studies found a stronger association between APOEE4 and CSF tau levels in females compared to males at baseline in cognitively normal (CN; Damoiseaux et al., 2012; but see Sampedro et al., 2015) and amnestic mild cognitive impairment (aMCI) individuals (Altmann et al., 2014; Liu et al., 2019) but no studies to our knowledge have investigated the interactions between sex and APOE genotype in individuals with AD. Females with APOEɛ4 alleles have steeper hippocampal volume reductions with age in CN individuals (Holland et al., 2013) and show greater cognitive decline in aMCI individuals (Sohn et al., 2018; Wang et al., 2019) compared to males but these findings are not consistent (Buckley et al., 2018). Although there are several ADNI studies that have examined sex by APOE genotype interactions on AD biomarkers, most of these studies included only one diagnosis group, with either only CN (Buckley et al., 2018, 2019a) or aMCI groups (Liu et al., 2019; Sohn et al., 2018) or analyzed the groups (CN, aMCI, AD) separately (Sundermann et al., 2018). It is important to include all three diagnosis groups CN, aMCI and AD to understand how sex may interact with APOE genotype to influence AD biomarkers across diagnosis. In order to improve diagnosis and treatment, it is important to understand why females are at a higher lifetime risk and have a higher burden of the disease than males and whether this is across diagnosis and APOE genotype for a number of AD biomarkers.

Sex differences in memory depend on memory domain, females perform better in episodic memory tasks related to verbal memory, whereas males perform better in visuospatial-related tasks (meta-analysis by Asperholm et al., 2019). Furthermore, there are sex differences in some forms of executive function depending on the type of executive function being examined, with a male advantage for working memory and a female advantage on response inhibition in cognitively healthy adults (Gaillard et al., 2020). In tasks that involve the integrity of the hippocampus, males typically outperform females in both humans and rodents (Yagi and Galea, 2019). In addition, while sex differences in hippocampal volume have been detected, with females having a smaller volume compared to males, this depends on whether the data are corrected for individual differences in brain volume and the method of correction employed (meta-analysis by Tan et al., 2016). Understanding basic sex differences in hippocampal function and structure is important to determine how they can contribute to sex differences in vulnerability and progression of neurodegenerative diseases in which the integrity of the hippocampus is affected such as in AD.

The objective of this study was to examine sex differences in AD biomarkers (CSF  $A\beta$ , tau, and phosphorylated tau), volume of the hippocampus (using two correction factors), memory, and executive function, and how these may be affected by APOE genotype (non-carriers or carriers of APOEɛ4 alleles) and diagnosis status (CN, aMCI, probable AD). We hypothesized that females will be more affected by APOE genotype and diagnosis and this will be reflected in greater AD pathology, smaller hippocampus volume, and lower cognitive scores than males with probable AD and this is exacerbated in female carriers of APOEɛ4 alleles.

#### 2. Materials and methods

### 2.1. ADNI database

Data used in the preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (adni. loni.usc.edu). The ADNI was launched in 2003 as a public-private partnership, led by Principal Investigator Michael W. Weiner, MD. The

primary goal of ADNI has been to test whether serial magnetic resonance imaging (MRI), positron emission tomography (PET), other biological markers, and clinical and neuropsychological assessment can be combined to measure the progression of mild cognitive impairment (MCI) and early Alzheimer's disease (AD). For up-to-date information, see www.adni-info.org. Data used in this article were downloaded on or before Jan 16, 2019. Participants (between the ages of 55 and 90) were recruited across the United States and Canada and agreed to complete a variety of imaging and clinical assessments at baseline and repeated at specific intervals (Petersen et al., 2010). The study design and schedule is available online: (http://adni.loni.usc.edu/study-design/). Inclusion and exclusion criteria are detailed online (http://adni.loni.usc.edu /methods/documents/). Briefly, CN participants had normal memory function (measured by education-adjusted scores on the Wechsler Memory Scale Logical Memory II) and a Clinical Dementia Rating (CDR) of 0. Amnestic late MCI (LMCI) participants had objective memory loss (from Wechsler Memory Scale Logical Memory II scores), a CDR of 0.5, preserved daily activities, and absence of dementia. Early MCI (EMCI) participants had a milder episodic memory impairment compared to LMCI (Wechsler Memory Scale Logical Memory II scores ~0.5 and 1.5 SD below the mean of CN participants). Participants that met NINCD-S/ADRDA Alzheimer's Criteria and a CDR of 0.5 or 1.0 were categorized as probable AD.

### 2.2. CSF biomarkers, hippocampal volume, memory, and executive function

We included all participants who had the following baseline data: diagnosis in the ADNI database, cerebrospinal fluid (CSF) levels for  $A\beta$ , tau, and phosphorylated tau (ptau), had a brain MRI scan, and underwent a battery of neuropsychological tests (total n = 1460, n = 630females, n = 830 males; Table 1). Data included in our analyses were: demographics (age, years of education, and ethnicity), baseline diagnosis (cognitively normal, CN; EMCI; LMCI; or probable AD), number of APOE  $\varepsilon$ 4 alleles (0, 1 or 2), CSF A $\beta$  (pg/ml), CSF tau (pg/ml), and CSF ptau (pg/ml), hippocampal volume (mm<sup>3</sup>), ADNI executive function zscores, and ADNI memory z-scores (using data from the ADNI neuropsychological battery and validated in Crane et al., 2012; Gibbons et al., 2012). The executive function score included WAIS-R Digit Symbol Substitution, Digit Span Backwards, Trails A and B, Category Fluency, and Clock Drawing (Gibbons et al., 2012). The composite memory score included Rey Auditory Verbal Learning Test, AD Assessment Schedule -Cognition, Mini-Mental State Examination, and Logical Memory data (Crane et al., 2012). APOE genotype was determined from EDTA blood samples collected at baseline (for a detailed protocol see: Shaw et al., 2009). Tau and  $A\beta$  levels were determined in CSF collected in the morning after an overnight fast and using the micro-bead-based multiplex immunoassay, the INNO-BIA AlzBio3 RUO test (Fujirebio, Ghent, Belgium) on the Luminex platform (for details see: Shaw et al., 2009). MRI scans were obtained according to standardized protocol (http://ad ni.loni.usc.edu/methods/mri-analysis/mri-acquisition/). Hippocampal volume data were analyzed using FreeSurfer (https://surfer.nmr.mgh. harvard.edu) version 4.3 for ADNI 1 and version 5.1 for ADNI GO and 2 at the University of California - San Francisco (http://adni.loni.usc. edu/methods/). Sex differences in hippocampal volume are influenced by controlling factors such as intracranial volume (Lotze et al., 2019; Tan et al., 2016). In the present study, we corrected hippocampus volume using two different methods. The first method (regression method) used the method in Mormino et al. (2014) and Jack et al. (2012) using the residuals of the linear regression between hippocampal volume and total intracranial volume. We also compared another widely used volume correction method (Sohn et al., 2018; Sundermann et al., 2018; meta-analysis by Tan et al., 2016) by dividing hippocampal volume with intracranial volume to directly compare the different correction methods.

#### Table 1

Demographic and clinical information for all participants and subdivided by sex. We collapsed APOE genotype into two groups: (1) participants with no  $\varepsilon$ 4 risk alleles (-/-) and (2) participants carrying any  $\varepsilon$ 4 alleles (homozygous  $\varepsilon$ 4/ $\varepsilon$ 4 and heterozygous  $\varepsilon$ 4/-). Biomarkers for AD are from cerebrospinal fluid. Volume of the hippocampus was corrected using a regression method or using a ratio with intracranial volume (see methods). CN, cognitively normal; EMCI, early mild cognitive impairment; LMCI, late mild cognitive impairment; AD, Alzheimer's disease.

			Sex			
		Total	Female	Male	P-value	
		No. 1460	No. 630	No. 830		
Age						
Mean		74.13 ( $\pm$	73.15 (±	74.87 (±	<	
(SD)		7.25)	7.28)	7.14)	0.0001	
Education	(years)					
Mean		15.83 ( $\pm$	15.15 ( $\pm$	16.34 ( $\pm$	<	
(SD)		2.88)	2.79)	2.85)	0.0001	
Ethnicity						
White		1352	573	779	0.043	
<b>N</b> .		(92.60%)	(90.95%)	(93.86%)		
Not		108 (7.40%)	57 (9.05%)	51 (6.14%)		
white Baseline d						
Dasenne u	lagnosis	241 (22 404)	169 (96 704)	172 (20.904)	0.012	
EMCI		257 (17.6%)	108(20.7%) 108(17.1%)	173 (20.8%)	0.015	
IMCI		237 (17.0%) 533 (36.5%)	205 (32 5%)	328 (39 5%)		
AD		329 (22 5%)	149 (23 7%)	180 (21 7%)		
APOEs4 al	lele number	025 (221070)	115 (2017 70)	100 (211, 70)		
0		702	300	402	0.87	
		(48.08%)	(47.62%)	(48,43%)		
1 or 2		744	322	422		
		(50.96%)	(51.11%)	(50.84%)		
Missing		14 (0.96%)	8 (1.27%)	6 (0.72%)		
Diagnosis	by APOE					
genotype	e					
CN	0	236	111	125	0.34	
		(69.21%)	(66.07%)	(72.25%)		
	1 or 2	103	55 (32.74%)	48 (27.75%)		
		(30.21%)				
EN COL	Missing	2 (0.59%)	2 (1.19%)	0	0.0	
EMCI	0	128	59 (54.63%)	69 (46.31%)	0.2	
	1 0	(49.81%)	46 (40 500/)	77 (51 (00/)		
	1 or 2	123	46 (42.59%)	// (51.68%)		
	Miccing	(47.80%)	3 (2 78%)	3 (2 01%)		
LMCI	0	233	80 (39 02%)	153	0.11	
Livici	0	(43,71%)	00 (0).0270)	(46.65%)	0.11	
	1 or 2	298	124	174		
		(55.91%)	(60.49%)	(53.05%)		
	Missing	2 (0.38%)	1 (0.49%)	1 (0.30%)		
AD	0	105	50 (33.56%)	55 (30.56%)	0.63	
		(31.92%)				
	1 or 2	220	97 (65.10%)	123		
		(66.87%)		(68.33%)		
	Missing	4 (1.22%)	2 (1.34%)	2 (1.11%)		
Amyloid B	eta	000.07.()	056 41 61	010 44 ()	0.017	
Mean		830.97 (±	856.41 (±	812.44 (±	0.016	
(SD) Missing		358.04)	346.87)	305.10)		
wissing		(35 14%)	231	202		
Тан		(33.1470)	(30.07 %)	(33.98%)		
Mean		294.38 (+	314.56 (+	279 70 (+	0.002	
(SD)		137.27)	152.70)	122.91)	0.002	
Missing		513	231	282		
		(35.14%)	(36.67%)	(33.98%)		
PTau						
Mean		28.89 (±	30.87 (±	27.44 (±	0.007	
(SD)		15.31)	16.95)	13.83)		
Missing		513	231	282		
		(35.14%)	(36.67%)	(33.98%)		
Volume of	hippocamp	us (uncorrected	, mm <sup>3</sup> )			
Mean		6659.47 (±	6446.71 (±	6822.86 (±	< 0.0001	
(SD)		1176.42)	1169.97)	1155.87)		
Missing		226	94 (14.92%)	132		
		(15.48%)		(15.90%)		

Table 1 (continued)

		Sex								
	Total	Female	Male	P-value						
	No. 1460	No. 630	No. 830							
Volume of hippocampu										
Mean	6662.60 ( $\pm$	6647.21 ( $\pm$	6674.43 ( $\pm$	0.83						
(SD)	1137.98)	1134.41)	1141.38)							
Missing	226	94 (14.92%)	132							
	(15.48%)		(15.90%)							
Volume of hippocampus/intracranial volume										
Mean	$0.00436 (\pm$	$0.00454~(\pm$	$0.00423$ ( $\pm$	< 0.0001						
(SD)	0.00080)	0.00082)	0.00076)							
Missing	226	94 (14.92%)	132							
	(15.48%)		(15.90%)							
Executive Function (ADNI_EF)										
Mean	$0.02~(\pm$	0.06 (±	-0.00 (±	0.20						
(SD)	0.96)	0.97)	0.95)							
Missing	311	145	166							
	(21.30%)	(23.02%)	(20.00%)							
Memory (ADNI_MEM)										
Mean	$0.10~(\pm$	$0.21~(\pm$	$0.02~(\pm$	0.0006						
(SD)	0.87)	0.94)	0.80)							
Missing	310	145	165							
	(21.23%)	(23.02%)	(19.88%)							

P-values are from Wilcoxon rank sum tests for continuous variables and Fisher's exact tests for categorical variables. Missing refers to number of individuals and the percent of the total cohort that had missing data for that variable.

#### 2.3. Statistical methods

We compared all available data for each study variable between the sexes using the Wilcoxon rank sum test for continuous variables and Fisher's exact test for categorical variables. We used general linear models to determine the relationships between sex, APOE genotype (non-carriers or carriers of APOEE4 alleles), and diagnosis status (CN, EMCI, LMCI, and probable AD) as predictor variables, and AD biomarkers, corrected hippocampal volume, and cognitive ability, as dependent variables. All models included age and years of education as a covariate. All models initially included the three-way interaction between sex, APOE genotype, and diagnosis; if this interaction was not significant, it was removed from the model to estimate the two way interactions (sex and APOE genotype, sex and diagnosis, APOE genotype and diagnosis). If no two-way interactions were significant, these were removed from the model to estimate the main effects of sex, APOE genotype, and diagnosis. Significance was based on the likelihood ratio test, and all p-values were corrected for multiple testing using the Benjamini-Hochberg false discovery rate method with the family-wise error rate set to 0.05 (Benjamini and Hochberg, 1995). In total, seven p-values per dependent variable were included in each set of models (interaction terms and main effects of sex, APOE, and diagnosis) resulting in 49 p-values corrected (seven dependent variables; Tables 1 and 2). Significant interaction terms were followed up using pairwise simple-effects tests with Benjamini-Hochberg p-value correction. We calculated Pearson's correlation coefficients between CSF levels of tau and  $A\beta$  by sex and APOE genotype separately. We report significance differences (adjusted p < 0.05). All regression analyses were carried out in R v3.5.1 (R Core Team, 2018).

### 3. Results

### 3.1. Demographic information

Table 1 gives a summary of the variables in the data set (N = 1460). Overall, females were significantly younger and had fewer years of education than males (p's < 0.0001) and hence age and education were used as covariates in all analyses. There were more white males than white females in our sample and more non-white females compared to non-white males (p < 0.05). In terms of APOE genotype, there were no

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	CSF tau		CSF ptau		CSF amyloid beta		ADNI Memory z-scores		Hippocampus volume (regression method)		Hippocampus volume (ratio method)		ADNI executive function z-scores	
Predictors	Estimates (CI)	adjusted P	Estimates (CI)	adjusted P	Estimates (CI)	adjusted p	Estimates (CI)	adjusted p	Estimates (CI)	adjusted p	Estimates (CI)	adjusted P	Estimates (CI)	adjusted p
(Intercept)	122.07 (21.39 to		12.35 (1.07 to		1345.53 (1090.27 to		1.23 (0.83 to		11,678.92 (11,048.18 to		0.0078 (0.0074 to		1.16 (0.62 to	
Age (years)	1.88 (0.79 to	0.05	23.63) 0.17 (0.05 to		-3.44 (-6.21 to		-0.009 (-0.01 to	0.0002	-56.77 (-63.75 to	< 0.0001	-0.000035 (-0.00004 to	< 0.0001	1.71) -0.02 (-0.02 to	< 0.0001
Education (years)	-2.62 (-5.54 to	0.04	-0.31 (-0.64 to	0.03	-0.07) -0.19 (-7.61 to		-0.01) 0.04 (0.03 -	< 0.0001	-49.78) -5.20 (-22.72 to		-0.00003) -0.000012 (-0.00003 to		-0.01) 0.06 (0.05 to	< 0.0001
Sex (ref = Female)	-12.49 (-36.01 to 11.04)		-0.90 (-3.53 to 1.74)		14.22 (-45.22 to 73.65)		-0.31 (-0.44 to -0.18)		12.33) 160.82 (59.29 to 262.36)	0.005	-0.00021 (-0.00028 to -0.00014)	< 0.0001	-0.12 (-0.21 to -0.03)	0.013
APOE genotype $1/2 \epsilon 4$ alleles (ref = 0 alleles)	68.10 (27.54 to 108.67)		7.89 (3.35 to 12.4)		-181.29 (-244.76 to -117.82)		0.003 (-0.13 to 0.14)		-168.09 (-383.49 to 47.30)		-0.000098 (-0.00025 to 0.00006)		-0.02 (-0.11 to 0.07)	
Diagnosis (ref = CN)						< 0.0001								< 0.0001
EMCI	7.3 (–23.08 to 37.68)		1.10 (-2.31 to 4.50)		-47.41 (–107.88 to 13.06)		-0.37 (–0.55 to –0.19)		-418.90 (-613.3 to -224.5)		-0.00027 (-0.00041 to -0.00013)		-0.36 (-0.51 to -0.22)	
LMCI	57.53 (28.00 to 87.06)		6.58 (3.27 to 9.89)		-199.57 (–256.43 to –142.71)		-0.99 (-1.13 to -0.84)		-870.94 (–1042.39 to –699.49)		-0.00062 (-0.00074 to -0.0005)		-0.74 (-0.85 to -0.63)	
AD	138.89 (103.05 to 174.73)		15.07 (11.05 to 19.08)		-302.02 (-364.13 to -239.92)		-1.87 (-2.04 to -1.70)		-1335.15 (–1557.41 to –1112.89)		-0.00089 (-0.0010 to -0.0007)		-1.54 (–1.67 to –1.41)	
Sex and APOE genotype interaction		0.005		0.006		0.051								
Male:1/2 alleles	-48.76 (–80.59 to –16.93)		-5.30 (–8.86 to –1.73)		-81.14 (–161.74 to –0.54)									
Sex and Diagnosis interaction								0.048						
Male:EMCI							-0.04 (-0.25 to 0.17)							
Male:LMCI							0.15 (-0.01 to 0.32)							
Male:AD							0.20 (0.01 to 0.38)							
APOE genotype and Diagnosis interaction		0.014		0.029			~	0.006		0.0007		0.0006		
1/2 alleles:EMCI	47.66 (-1.44 to 96.76)		4.57 (-0.94 to 10.07)				-0.15 (-0.36 to 0.06)		271.86 (-38.41 to 582.13)		0.00023 (0.000009 to 0.0005)			
1/2 alleles:LMCI	39.03 (-7.36 to 85.42)		4.20 (-1.00 to 9.39)				-0.28 (-0.45 to -0.11)		-282.50 (-553.38 to -11.63)		-0.00017 (-0.00036 to 0.00003)			
1/2 alleles:AD	-21.57 (-72.73 to 29.58)		-2.32 (-8.05 to 3.41)				-0.03 (-0.23 to 0.17)		-303.52 (-615.96 to 8.92)		-0.00021 (-0.0004 to 0.000009)			
Observations R <sup>2</sup> / R <sup>2</sup> adjusted	947 0.227 / 0.218		947 0.220 / 0.211		947 0.264 / 0.258		1145 0.621 / 0.616		1224 0.431 / 0.427		1224 0.415 / 0.410		1144 0.411 / 0.408	

sex differences in distribution of APOE genotype with 40% females and 38.8% males possessing one allele of APOE£4, and 11% females and 12% of males possessing two alleles of APOE£4. The proportion of participants in each of the diagnosis categories was significantly different for females and males (p < 0.05). More females were cognitively normal than males (26.7% compared to 20.8%, unadjusted p = 0.01) but there were no sex differences in baseline diagnosis of probable AD (females: 23.7% compared to males: 21.7%, unadjusted p = 0.41). However, there were more males with a diagnosis of LMCI (39.5% versus 32.5%, unadjusted p = 0.74) compared to females.

We tested whether sex, APOE genotype (non-carriers or carriers of APOEɛ4 alleles), and diagnosis status (CN, EMCI, LMCI, and probable AD) influenced cognitive ability, corrected hippocampal volume, and CSF biomarkers of AD in one model. However, we did not find significant interactions between these three factors in any of the models (i.e. none of the three-way interactions were significant). We did find significant two-way interactions between sex and APOE genotype, sex and diagnosis, and APOE genotype and diagnosis (Table 2) on these dependent variables, which are discussed in turn below.

### 3.2. Sex and APOE genotype were associated with changes in CSF AD biomarkers

We found significant interactions between sex and APOE genotype for CSF tau, and ptau (p = 0.005 and 0.006, respectively), and a trend for CSF A $\beta$  (p = 0.051; Table 2). Tau and ptau levels were significantly higher in female carriers of APOEE4 alleles compared to male carriers (all p's < 0.0001; Fig. 1 A and B) but no sex differences were detected in non-carriers of APOE $\epsilon$ 4 alleles (p > 0.3). Although CSF tau and ptau levels were also higher in male carriers of APOEɛ4 alleles, the difference in levels between carriers and non-carriers was more pronounced in females (standardized regression coefficients for tau and ptau: 0.46 and 0.49 in females and 0.19 and 0.24 in males). For CSF A $\beta$ , post-hoc comparisons showed that female APOEE4 carriers had higher levels compared to males carriers (p = 0.04) but there were no sex differences in non-carriers (p = 0.6; Fig. 1 C). Levels of CSF A $\beta$  were lower in both sexes in carriers of APOEɛ4 alleles but the difference between carriers and non-carriers was more pronounced in males than in females, irrespective of diagnosis (standardized regression coefficients for A $\beta$ : -0.58 in females and -0.71 in males). There were no significant sex by diagnosis interactions for AD biomarkers (Table 2).

We next investigated the relationship, using correlations, between CSF tau and A $\beta$  levels in males and females and by APOE genotype (Table 3). We found significant negative correlations between CSF levels of tau and A $\beta$  in male and female non-carriers of APOEe4 alleles (r = -0.262, p < 0.0001; r = -0.195, p = 0.008, respectively). However, in carriers of APOEe4 alleles, a significant negative correlation was found only in females (r = -0.219, p = 0.001) but not in males

(r = -0.046, p = 0.44).

### 3.3. Sex and diagnosis were associated with changes in memory scores

We found a significant interaction between sex and diagnosis for ADNI memory z-scores (p = 0.048; Table 2). Females had significantly higher memory z-scores than males in the CN, EMCI, and LMCI groups (p < 0.001, p < 0.001, p < 0.01, respectively) while no sex differences were detected in probable AD (p = 0.1). Thus, severity of diagnosis decreased memory scores to a greater extent in females, such that there was no longer a sex differences in memory scores in individuals with AD (Fig. 2). CSF tau and A $\beta$  were each significantly correlated with memory z-scores in both sexes, regardless of genotype (Table 3). There were no significant sex by APOE genotype interactions for memory scores (Table 2).

### 3.4. Diagnosis and APOE are associated with changes in memory, hippocampal volume, and tau-pathology

Two-way interactions between diagnosis and APOE genotype were detected for memory z-scores (p = 0.006), hippocampal volume (the two correction methods; both p's < 0.001), and CSF tau (p = 0.014) and ptau levels (p = 0.029) but not for executive function scores or CSF A $\beta$  levels. As expected, increasing severity of diagnosis decreased memory z-scores in carriers and non-carriers of APOEe4 alleles (p's < 0.001). However, carrying APOEe4 alleles decreased memory scores in the LCMI group (p < 0.0001), but not in the CN, EMCI, and probable AD groups (all p's > 0.2), compared to non-carriers.

For both methods of hippocampal volume calculation, we found that, irrespective of sex, carriers of APOEɛ4 alleles had a smaller volume compared to non-carriers in LMCI and probable AD individuals (regression method: p < 0.0001 and p = 0.0004, respectively; ratio method: p = 0.0001 and p = 0.001, respectively). There were no significant differences in hippocampal volume between carriers and noncarriers of APOE $\varepsilon$ 4 alleles in the EMCI and CN groups (p's > 0.5). In non-carriers, severity of diagnosis was associated with a smaller hippocampal volume, regardless of method of correction (AD< LMCI< EMCI< CN; p's < 0.01). In carriers of APOEɛ4 alleles, EMCI diagnosis did not significantly affect hippocampal volume compared to CN individuals with both methods of correction (p's > 0.7) while there was a reduction in hippocampal volume in probable AD and LMCI (AD<LMCI<CN; p's < 0.0001). There were no significant sex by diagnosis or sex by APOE genotype interactions for hippocampal volume (Table 2).

CSF tau and ptau levels increased with the severity of diagnosis but this was affected by APOE genotype (p = 0.014 and p = 0.029, respectively). Carrying APOE&4 alleles resulted in higher levels of CSF tau and ptau in EMCI and LMCI (all p's < 0.0001), but not in CN or probable AD individuals (p's > 0.17). Regardless of sex, the difference in CSF tau and



Fig. 1. A. Levels of CSF AD biomarkers tau (pg/ml; A), ptau (pg/ml; B), and amyloid beta (pg/ml; C) in ADNI participants by sex and APOE genotype (absence or presence of APOEc4 alleles).

#### Table 3

Correlations between CSF levels of tau and amyloid beta, CSF tau and memory scores, and CSF amyloid beta and memory scores, by sex and APOE genotype (noncarriers versus carriers of APOE alleles).

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Fig. 2. Memory scores in ADNI participants by sex and diagnosis (CN, EMCI, LMCI, AD). CN, cognitively normal; EMCI, early mild cognitive impairment; LMCI, late mild cognitive impairment; AD, Alzheimer's disease.

ptau levels between non-carriers and carriers of APOEe4 alleles was reduced in individuals with probable AD compared to EMCI and LMCI diagnoses.

### 3.5. Sex is associated with hippocampal volume

Males had a larger hippocampus volume by the regression method, but a smaller proportion of the brain was devoted to hippocampus (ratio method) when compared to females (main effect of sex, p = 0.005 and p < 0.0001, respectively; Table 2). Sex differences in hippocampal volume were observed regardless of diagnosis or APOE genotype.

### 3.6. Diagnosis is associated with $A\beta$ and executive function

Irrespective of sex and APOE genotype, increasing severity of diagnosis was associated with lower CSF A $\beta$  levels in LMCI and probable AD compared to CN individuals (p < 0.0001). A $\beta$  levels were similar in CN and EMCI individuals (p = 0.1). Increasing severity of diagnosis was associated with lower executive function z-scores (p < 0.0001), irrespective of sex and APOE genotype.

### 4. Discussion

In the present study, we found that APOE genotype affects AD neuropathology differently in males and females. CSF tau pathology was

disproportionately elevated in female carriers of APOEE4 alleles, whereas CSF AB was disproportionately reduced in male carriers of APOEɛ4 alleles. These findings suggest that APOE genotype leads to greater AD neuropathology in both sexes that depends on the pathology biomarker, with females more likely to show higher CSF tau levels and males to present with lower CSF A $\beta$  levels (suggesting a higher A $\beta$ deposition). Females displayed higher memory scores than males in CN, EMCI, and LMCI groups but not in probable AD, which may contribute to delayed diagnosis in females (Sundermann et al., 2017) and/or to the more severe decline in memory across diagnosis in females compared to males (Buckley et al., 2018; Irvine et al., 2012; Wang et al., 2019). Interestingly, depending on the correction method, females had either smaller or larger hippocampus volume compared to males, regardless of APOE genotype or diagnosis. As expected, increasing severity of diagnosis reduced executive function and CSF AB, irrespective of sex and APOE genotype, and APOE genotype affected memory, hippocampal volume, and tau-pathology depending on diagnosis. Previous work has demonstrated sex differences in rates of AD and symptoms of AD (reviewed in Ferretti et al., 2018; Nebel et al., 2018). Our current study suggests that AD biomarkers (CSF tau and  $A\beta$ ) are expressed differently between the sexes with APOE genotype, suggesting different AD pathology pathways between females and males carriers of APOEE4 alleles. These data may provide clues to better understand the sex differences in AD lifetime risk and progression.

## 4.1. APOE genotype affects tau and $A\beta$ pathology differently between the sexes

We found that levels of tau and ptau were disproportionately elevated with APOEE4 allele expression in females compared to males. Previous ADNI studies have found a significant interaction between sex and APOE genotype on levels of CSF tau in CN (Damoiseaux et al., 2012; but see Sampedro et al., 2015; Buckley et al., 2019a; Altmann et al., 2014) and aMCI participants (Altmann et al., 2014). A recent meta-analysis found a stronger association between APOE $\epsilon$ 4 and tau levels in CN females compared to males using ADNI and other datasets (Hohman et al., 2018). Our data extends these data by adding that the interaction between sex and APOE genotype on CSF tau and ptau is seen regardless of diagnosis (in CN, EMCI, LMCI and AD individuals). A recent study using a different cohort found that CSF ptau levels were not significantly higher in female APOEE4 carriers diagnosed with probable AD but a sex difference was found in earlier stages of the disease, i.e., subjective cognitive decline and MCI (Babapour Mofrad et al., 2020). Previous studies indicate that females with at least one APOEɛ4 allele are at a greater risk for developing AD earlier than are males with this allele (Altmann et al., 2014; Neu et al., 2017), and sex differences in tau and ptau may be one underlying mechanism by which this occurs but further replication with additional and larger populations are needed.

In contrast to tau pathology, CSF A<sup>β</sup> levels were disproportionately reduced in male APOEE4 carriers compared to females, suggesting a greater AB deposition in male carriers of APOEE4 alleles, regardless of diagnosis. To date, studies have not been consistent regarding sex differences in CSF A<sup>β</sup> levels or A<sup>β</sup> deposition based on APOE genotype. For example, somewhat consistent with our findings some studies have found that male APOE $\epsilon$ 4 carriers have lower CSF A $\beta$  levels in CN individuals (but not in aMCI individuals; Altman et al., 2014), and that males, regardless of APOE status, have higher A $\beta$  deposition (using PET) than females in certain brain regions (i.e., cingulate cortex; Cavedo et al., 2018). However, other studies in cognitively healthy individuals find no sex differences in A $\beta$  deposition (Jack et al., 2015) or that females have higher levels of  $A\beta$  deposition (Sundermann et al., 2018), regardless of APOE genotype. Differences between studies may be due to differences between CSF and PET A $\beta$  levels and types of analysis. Levels of CSF tau are hypothesized to increase after CSF A $\beta$  declines and A $\beta$ aggregates and deposits in the brain (Blennow et al., 2015). The association between tau and A<sub>β</sub> is also well characterized, i.e., increasing tau PET levels are associated with increasing A $\beta$  PET deposition (e.g., Maass et al., 2017). Based on this, we would have expected lower CSF A $\beta$  to be associated with higher CSF tau and ptau, which is precisely what we found in females, regardless of APOE genotype, but only in male non-carriers of APOEE4 alleles. Previous work is consistent with this finding, as higher levels of tau PET were more strongly associated with higher A<sub>β</sub> burden in the entorhinal cortex in females compared to males (Buckley et al., 2019b). Taken together, this suggests that the weaker relationship between tau and  $A\beta$  in males is due to APOE genotype and the stronger relationship in females between tau and  $A\beta$  is seen regardless of APOE genotype and these sex differences may drive inconsistences between studies. However, CSF tau and A\beta were similarly correlated with memory scores in both sexes and APOE genotype. It is possible that depending on APOE genotype, the neurodegeneration pathway (tau or  $A\beta$ ) or timeline of pathology is different between the sexes, but whichever pathway is present, it is correlated with lower memory scores.

### 4.2. AD diagnosis decreased memory scores to a greater extent in females

As expected, we found that the presence of APOE&4 alleles and probable AD diagnosis were associated with reduced memory and executive function scores consistent with past literature (Buckner, 2004; Ewers et al., 2012; Petersen et al., 2000). However, memory scores in females were more affected with diagnosis such that the female memory advantage was reduced with probable AD, and this is in line with longitudinal analyses showing females decline faster (although this may depend on APOE genotype and A<sup>β</sup> burden; Holland et al., 2013; Buckley et al., 2018). Executive function on the other hand was similarly reduced in females and males with diagnosis. Previous studies found that females have better verbal memory compared to males across diagnoses (CN, Jack et al., 2015; aMCI and probable AD, Sundermann et al., 2016, 2018). Here, we used the ADNI memory score developed by Crane et al. (2012) to detect abnormal memory including language, attention, and logical memory. It is possible that verbal memory may be driving the sex difference favouring females in the present study, however individual cognitive scores will need to be analyzed to confirm this. In contrast, Buckley et al. (2018) found no sex differences using a composite cognitive score that includes memory and executive function (Preclinical Alzheimer's Cognitive Composite score with semantic processing, PACC5) using ADNI and two other cohorts. Altogether, we found that in females CSF tau pathology was increased with APOE genotype and that in CN, EMCI and LMCI females (regardless of APOE genotype) memory and executive function scores were higher compared to males suggesting females have a reserve against brain pathology that delays either the onset of cognitive decline or diagnosis (Sundermann et al., 2017). Females may also use different coping strategies which delay manifestation of clinical symptoms, and in turn females may be less likely to be diagnosed with MCI or AD, as is the case with other conditions such as cardiovascular disease (Norris et al., 2020). Another study found that demographic differences (education and socioeconomic status) can affect sex differences in cognition. Males score better in verbal learning in impoverished and poor health cohorts and this is also associated with better education in males. In contrast, females score better when they have more education even when using education as a covariate (Hogervorst et al., 2012). These results suggest that more detailed neuropsychological analyses are important to consider, along with the use of composite or individual cognitive scores, and that education is a moderating variable in the effects of sex on neuropsychological measures of cognition. The use of education as a moderating variable to influence cognitive memory scores across diagnosis and genotype will be an important factor in future studies. Once cognitive decline begins, sex differences in memory scores are reduced (current study) and females show higher rates of declines compared to males (Buckley et al., 2018; Holland et al., 2013; Hua et al., 2010) perhaps because the underlying tau pathology is elevated in females.

Females have a higher lifetime risk of AD than males and show greater cognitive decline with AD than males (reviewed by Ferretti et al., 2018; Nebel et al., 2018). The disproportionate effect in females compared to males of (1) APOE¢4 genotype on tau-related pathology and (2) AD diagnosis on memory scores supports the idea that females have a higher burden of the disease, although not all studies agree (Jack et al., 2019; reviewed in Nebel et al., 2018). Intriguingly, males are more likely to be diagnosed with aMCI compared to females (Jack et al., 2019), whereas females progress faster from aMCI to AD (Lin et al., 2015). These findings are similar to those in the current study as we found more males than females diagnosed with LMCI but no sex difference in frequency in probable AD. Altogether, our study and previous research suggests that AD females "catch up" to males and sex differences in tau-related pathology found in the current study may be the underlying mechanism for this accelerated transition.

### 4.3. Sex differences in hippocampal volume depended on correction method

We found that the presence of APOE&4 alleles and probable AD diagnosis were associated with reduced corrected hippocampal volume (both methods of correction) consistent with previous literature (Buckner, 2004; Ewers et al., 2012; Jack et al., 2000; Li et al., 2016; Petersen et al., 2000). Curiously, depending on the correction method, females had larger or smaller hippocampal volume compared to males. Females

had smaller corrected hippocampal volume using the residuals of the linear regression between hippocampal volume and total intracranial volume (regression method) but larger ratio of hippocampal/intracranial volume compared to males. Similar findings have been shown before as sex differences depend on whether hippocampal volume is corrected for by intracranial volume or total brain volume, and the method of correction (Tan et al., 2016). In their meta-analysis, Tan et al. (2016) conclude that sex differences in hippocampal volume are modest and highly depends on whether or not a correction was applied and the method of correction which is supported by our findings. These results have important implications for understanding sex differences in diseases that influence the integrity of the hippocampus as they lead to very different conclusions regarding hippocampal plasticity.

#### 4.4. Limitations

The ADNI data are not representative of the population as it is mostly composed of white and highly educated individuals. As ethnicity (Mayeda et al., 2016; Steenland et al., 2016) and education (Sharp and Gatz, 2011) can affect incidence, prevalence and age of AD onset, our conclusions may not apply to more ethnically and socially diverse populations. Although we controlled for education levels, education in itself is an important contributing factor and may impact sex differences in cognitive decline (Hogervorst et al., 2012). It is also possible that the protective effects of education vary with race/ethnicity (Avila et al., 2021), therefore future studies in more representative populations are needed. In addition, other pathologies in these participants, such as cancer, cardiovascular disease, diabetes, or smoking status may have influenced our measures of AD pathology, cognition, and hippocampal volume (Durazzo et al., 2014; Mezencev and Chernoff, 2020; Santiago and Potashkin, 2021). In this cohort, we did not detect significant interactions between sex, APOE genotype, and diagnosis for any of the endpoints analyzed. Future research with large cohorts is required to further test how sex, APOE genotype, and diagnosis interact together as well as how other life history characteristics such as parity may play a role.

### 5. Conclusion

Levels of CSF tau and ptau were disproportionately affected by APOE genotype in females compared to males, however males had a higher reduction in CSF A $\beta$  levels with APOE genotype compared to females. Our results support the idea that there are sex differences in the manifestation and pathology of AD with APOE genotype. Interestingly, although in this cohort female APOEe4 carriers had elevated tau pathology, females (regardless of APOE genotype) had higher memory scores compared to males in CN and aMCI groups. Therefore, it is possible that females may have a reserve that protects the brain from damage to delay cognitive decline or delay diagnosis but as the disease progresses the advantage in memory is reduced and females show a faster trajectory of cognitive decline.

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